

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATION PLACE 100 F STREET, NE WASHINGTON, DC 20549-2465

Office of FOIA Services

February 08, 2019

Mr. Paul Gottinger MuckRock News DEPT MR 67352, 411A Highland Ave Somerville, MA 02144-2516

Re: Freedom of Information Act (FOIA), 5 U.S.C. § 552 Request Nos. 19-00947-FOIA and 19-00948-FOIA

Dear Mr. Gottinger:

This letter is in response to your request, dated January 12, 2019, and received in this office on January 14, 2019, for the following:

- A copy of records documenting the number of employees of the U.S. Securities and Exchange Commission, who were terminated/retired, or left the SEC for any reason, for the years 2015, 2016, 2017, and 2018. [19-00947-FOIA], &
- A copy of records documenting the number of new employees hired to work for the U.S. Securities and Exchange Commission, in the years 2015, 2016, 2017 and 2018. [19-00948-FOIA]

Reference is also made to our letter dated January 30, 2018, in which we addressed your request for a fee waiver.

In lieu of actual documentation, we are providing the following chart representing the requested number of employees who were terminated/retired or left the SEC and the number of new employees hired to work for the SEC for the specified time frames.

Fiscal Year	# Separated	# Hired
2015	258	457
2016	201	444
2017	249	166
2018	200	54

Lastly, no fees have been assessed in this instance since your entitlements as a media-use requester are sufficient to cover the cost to process your request.

If you have any questions, please contact me at <a href="luetkenhausj@sec.gov">luetkenhausj@sec.gov</a> or (202) 551-8352. You may also contact me at <a href="foiapa@sec.gov">foiapa@sec.gov</a> or (202) 551-7900. You may also contact the SEC's FOIA Public Service Center at <a href="foiapa@sec.gov">foiapa@sec.gov</a> or (202) 551-7900. For more information about the FOIA Public Service Center and other options available to you please see the attached addendum.

Sincerely,

Jason Luetkenhaus FOIA Lead Research Specialist

Enclosure

## **ADDENDUM**

For further assistance you can contact a SEC FOIA Public Liaison by calling (202) 551-7900 or visiting https://www.sec.gov/oso/help/foia-contact.html.

SEC FOIA Public Liaisons are supervisory staff within the Office of FOIA Services. They can assist FOIA requesters with general questions or concerns about the SEC's FOIA process or about the processing of their specific request.

In addition, you may also contact the Office of Government Information Services (OGIS) at the National Archives and Records Administration to inquire about the FOIA dispute resolution services it offers. OGIS can be reached at 1-877-684-6448 or via e-mail at <a href="mailto:ogis@nara.gov">ogis@nara.gov</a>. Information concerning services offered by OGIS can be found at their website at <a href="mailto:Archives.gov">Archives.gov</a>. Note that contacting the FOIA Public Liaison or OGIS does not stop the 90-day appeal clock and is not a substitute for filing an administrative appeal.